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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/763,868	01/22/2004	Edward Eytchison	Sony-05300	8511
7590 JONATHAN O OWENS HAVERSTOCK & OWENS LLP 162 NORTH WOLFE ROAD SUNNYVALE, CA 94086			EXAMINER CLOUD, JOIYA M	
		ART UNIT 2144	PAPER NUMBER	
			MAIL DATE 05/01/2008	DELIVERY MODE PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/763,868	EYTCHISON ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Joiya M. Cloud	2144	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 14 January 2008.  
 2a) This action is **FINAL**.                    2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-26 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-26 is/are rejected.  
 7) Claim(s) \_\_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on 22 January 2004 is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____ .
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)	5) <input type="checkbox"/> Notice of Informal Patent Application
Paper No(s)/Mail Date _____.	6) <input type="checkbox"/> Other: _____ .

***DETAILED ACTION***

1. This action is responsive to communication filed 01/14/2008. Claims 1-26 are pending. Applicant's arguments filed 01/14/2008 have been fully considered but they are not persuasive.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claims 1-26** are rejected under 35 U.S.C. 103(a) as being unpatentable over Armstrong et al. (US Publication No 2002/0073172 A1, hereinafter Armstrong) in view of Janik et al. (U.S. Publication No. 2002/0013852 A1, hereinafter Janik).

**As per claim 1**, Armstrong discloses the invention substantially as claimed. **Armstrong** teaches a method comprising: storing an initial portion of the content item in a temporary storage cache (**Abstract, paragraphs [0018], [0030], [0033]**); receiving a request for the content item (**Abstract, paragraphs [0018], [0030], [0033]**); streaming the initial portion of the content item from the temporary storage cache to a stream synchronizer in response to the request (**Abstract, paragraphs [0018], [0030], [0033]**); producing a resultant stream (**where the resultant stream is the remaining portion**) using the initial portion of the content item; and seamlessly

transitioning the resultant stream from the initial portion of the content item to an entire segment of the content item (**paragraphs, [0033], [0046], and [0037]**).

Although the Background of Armstrong specifically states that a “user ideally selects desired content” in paragraph [0006], Armstrong does not explicitly identifying a preference and selecting a content item based on the preference;

However, Janik teaches identifying a preference (**paragraphs [0080] and [0082]**); selecting a content item based on the preference (**paragraphs [0080] and [0082]**);

Accordingly, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have incorporate Armstrong's teachings to the teachings of Janik, for the purpose of providing a means to allow the user to receive specific requests based on their preference and furthermore for returning to a user a desired stream to “meet user expectations” (**Armstrong: paragraph [0006]**).

**As per claim 2**, Armstrong-Janik teaches a method wherein the preference is associated with a user (**Janik: paragraphs [0080] and [0082]**).

**As per claim 3**, Armstrong-Janik teaches a method wherein the preference includes a playlist (**Armstrong: paragraph [0044]**).

**As per claim 4**, Armstrong-Janik teaches a method wherein the resultant stream mirrors the entire segment of the content (**Armstrong: paragraph [0031]**).

**As per claim 5** Armstrong-Janik teaches a method further comprising identifying a user associated with the preference (**Janik: paragraphs [0080] and [0082]**).

**As per claim 6**, Armstrong-Janik teaches a method wherein the content includes one of a document, an image, audio data, and video data (**Armstrong: paragraph [0018], lines 1-5 and Janik: paragraph [0009]**).

**As per claim 7**, Armstrong-Janik teaches a method further comprising transmitting the entire segment of the content to a stream buffer in response to the request (**Armstrong: paragraph [0040]**).

**As per claim 8**, Armstrong-Janik teaches a method wherein the transmitting the entire segment of the content occurs simultaneously with streaming the initial portion (**Armstrong: paragraphs [0031], [0032], and [0040]**).

**As per claim 9**, Armstrong-Janik teaches a method wherein the seamlessly transitioning occurs in real-time (**Armstrong: paragraph [0027], [0031], [0032]**).

**As per claim 10**, Armstrong-Janik teaches a method according to claim 1, further comprising presenting the resultant stream beginning with the initial portion and subsequently followed by a portion of the entire segment (**Armstrong: paragraph [0046], lines 7-12**).

**As per claim 11**, Armstrong-Janik teaches a system comprising means for identifying a preference (**Janik: paragraphs [0080] and [0082]**); means for selecting a content item based on the preference (**Janik: paragraphs [0080] and [0082]**); means for storing an initial portion of the content item in a temporary storage cache (**Armstrong: paragraphs [0018], [0030], [0033]**); means for receiving a request for the content item; means for streaming the initial portion of the content item from the temporary storage cache to a stream synchronizer in response to the request (**Armstrong: paragraphs [0018], [0030], [0033] [0037]**); means for producing a

resultant stream using the initial portion of the content item; and means for seamlessly transitioning the resultant stream from the initial portion of the content item to an entire segment of the content item (**paragraph [0037]**).

**As per claim 12**, claim 12 is substantially the same as claim 1 and thus rejected using similar rationale. Furthermore, regarding simultaneously loading an entire segment of the selected content item to the stream synchronizer while streaming the initial portion (**paragraph [0031] and [0032]**)

**As per claims 13-17**, the rejection for claims 1, 6, and 9 applies fully.

**As per claim 18**, Armstrong-Janik teaches a method further comprising displaying the resultant stream (**Armstrong: paragraph [0023]**).

**As per claim 19**, claim 19 is substantially the same as claim 1, but in system form rather than method form. Therefore, the rejection for claim 1 applies equally as well to claim 19.

**As per claim 20**, Armstrong-Janik teaches a system comprising a media server configured for storing an entire segment of content (**Armstrong: paragraph [0042]**); a client device configured for storing an initial portion of the content wherein the client device is configured to display the content by streaming a resultant stream from the initial portion of the content while simultaneously receiving the entire segment of the content and seamlessly substituting the entire segment of the content for the initial portion (**Armstrong: paragraphs [0044],[0046]**).

**As per claim 21,** Armstrong-Janik teaches a system wherein the client device is configured to store the initial portion of the content prior to a request for the content (**Armstrong: paragraph [0073]**).

**As per claim 22,** Armstrong-Janik teaches a system wherein the client device is configured to receive the entire segment subsequent to a request for the content (**Armstrong: paragraph [0046]**).

**As per claim 23,** system according to claim 20, wherein the client device further comprises a preference data module configured for storing information relating to the content (**Janik:**).

**As per claims 24-26,** the rejection for claims 1 and 6-7 applies fully.

### *Response to Arguments*

A) Armstrong does not teach storing an initial portion of the content item in a temporary storage cache. The information server 125 of Armstrong is not a temporary storage cache.

As to the above point A), Examiner respectfully disagrees. Armstrong specifically teaches as stated by the Abstract "storing an initial portion of each title on a primary storage..." Applicant's claim requires "storing an initial portion of the content item in a temporary storage cache," which is clearly taught by Armstrong. The interpretation that the Examiner has relied upon for "temporary storage cache" is defined by the process of storing to the primary storage as

described in Armstrong and not the information server 125. As temporary storage cache serve as staging areas or buffers of the sort, Examiner notes the primary storage media as defined by Armstrong's instant specification is a disk array (paragraph [0040]). A disk array is appropriately defined by Wikipedia as a disk storage system which contains multiple disk drives. It is differentiated from a disk enclosure, in that an array has ***cache memory*** and advanced functionality, like RAID and virtualization. (see [http://en.wikipedia.org/wiki/Disk\\_array](http://en.wikipedia.org/wiki/Disk_array)). Furthermore, Armstrong specification discloses the storing process to include storing the information to respective buffers which serve as temporary storage cache (**paragraph [0040]**).

B) Armstrong does not teach selecting and storing a content item based on an identified preference.

As to the above point B), Examiner respectfully disagrees. Examiner submits that in response to Applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., selecting and storing a content item based on an identified preference.) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). However, the Applicant's claim *does* recite similar language in claim 1 stating "identifying a preference; selecting a content item based on the preference," which is rejected as unpatentable over Armstrong in view of Janik. The last Office Action (filed 10/18/2007) specifically states that this limitation is met inexplicitly in Armstrong (i.e. "user ideally selects desired content") and explicitly in Janik where the reference teaches a user provides a content

preference by checking boxes beside content types that they wish to receive which in turn is displayed back to the users.

C) Janik does not teach prefetching content based on a preference corresponding to a user.

As to the above point C), Examiner respectfully disagrees. Examiner submits similar response to arguments as stated for point B. Furthermore, Examiner notes that Janik teaches prefetching a content based on a prefetch parameter and a display window, where the user is able to select a content preference that filters the content based on the preference corresponding to the user's and user selection.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joiya Cloud whose telephone number is 571-270-1146. The examiner can normally be reached Monday to Friday from 7:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Vaughn can be reached on 571-272-3922. The fax phone number for the organization where this application or proceeding is assigned is 571-273-3922.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

***JMC***

**/William C. Vaughn, Jr./**

**Supervisory Patent Examiner, Art Unit 2144      April 26, 2008**